

Electronic Acknowledgement Receipt

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|---|---|
| EFS ID: | 3944527 |
| Application Number: | 10627921 |
| International Application Number: | |
| Confirmation Number: | 3612 |
| Title of Invention: | Method and apparatus for enabling individual or smaller investors or others to create and manage a portfolio of securities or other assets or liabilities on a cost effective basis |
| First Named Inventor/Applicant Name: | Steven M.H. Wallman |
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| Filer: | Shawn W. Odowd/Tammy Jordan-Whitmore |
| Filer Authorized By: | Shawn W. Odowd |
| Attorney Docket Number: | 10392/460044 |
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| Application Type: | Utility under 35 USC 111(a) |

Payment information:

| | |
|--|-----------------|
| Submitted with Payment | yes |
| Payment Type | Deposit Account |
| Payment was successfully received in RAM | \$130 |

| RAM confirmation Number | 2532 | | | | |
|---|---------------------------|---------------------------|--|------------------|------------------|
| Deposit Account | 110600 | | | | |
| Authorized User | | | | | |
| File Listing: | | | | | |
| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
| 1 | Terminal Disclaimer Filed | 10392_460044TermDiscl.pdf | 89631 <small>5180b4c9f9dc4d49bbdb8f107a9d165739cc88b41</small> | no | 1 |
| Warnings: | | | | | |
| Information: | | | | | |
| 2 | Fee Worksheet (PTO-06) | fee-info.pdf | 29880 <small>5e3627189f8ec083f9e9ab5c8f8f472e1d21546239</small> | no | 2 |
| Warnings: | | | | | |
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